



Docket No.: 03226/496001; P9015  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Shivaram Bhat et al.

Application No.: 10/608,882

Confirmation No.: 7843

Filed: June 26, 2003

Art Unit: 2136

For: REMOTE INTERFACE FOR POLICY  
DECISIONS GOVERNING ACCESS  
CONTROL

Examiner: C. Johnson

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Office Action or Notice of Allowance.

01/04/2007 AWONDAF1 00000027 10608882

01 FC:1806

180.00 OP

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0591, under Order No. 03226/496001; P9015.

Dated: December 29, 2006

Respectfully submitted,

By Robert P. Lord  
for Robert P. Lord  
Registration No.: 46,479  
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(713) 228-8778 (Fax)



PTO/SB/08A/B (09-06)

Approved for use through 03/31/2007. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO				<b>Complete If Known</b>	
				Application Number	10/608,881-Conf. #7839
				Filing Date	June 26, 2003
				First Named Inventor	Shivaram Bhat
				Art Unit	2136
				Examiner Name	C. Johnson
Sheet	1	of	2	Attorney Docket Number	
03226/496001; P9015					

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
AA	US-2004/0073668	04/2004	Bhat, et al.		
AB	US-2003/0200465	10/2003	Bhat, et. al.		
AC	US-6,453,353 B1	09/17/2002	Win Teresa, et al.		
AD	US-6,226,666	05/2001	Chang, et al.		
AE	US-6,362,836	03/2002	Shaw, et al.		
AF	US-6,687,229	02/2004	Kataria, et al.		
AG	US-2002/0169907	11/2002	Candea, et al.		
AH	US-2002/0184535	12/2002	Moaven, et al.		
AI	US-2002/0188513	12/2002	Gil, et al.		
AJ	US-2003/0018786	01/2003	Lortz, Victor B.		
AK	US-2003/0074580	04/2003	Knouse, et al.		
AL	US-2005/0021978	01/2005	Bhat, et al.		
AM	US-2005/0117576	06/2005	McDysan, et al.		
AN	US-6,920,494	07/2005	Heitman, et al.		
AO	US-6,957,261	10/2005	Lortz, Victor B.		
AP	US-2003/0093509	05/2003	Li, et al.		
AQ	US-6,799,208	09/2004	Sankaranarayanan, et al.		
AR	US-2004/0165007	08/2004	Shafron, Thomas J.		
AS	US-6,513,158	01/2003	Yogaratnam, Kumanan		
AT	US-2003/0204619	10/2003	Bays, Robert James		
AU	US-2003/0088786	05/2003	Moran, et al.		
AV	US-2002/0133723	09/2002	Tait, John King Frederick		
AW	US-6,668,322 B1	12/2003	Wood, et al.		
AX	US-2001/0037469	11/2001	Gupta, et al.		
AY	US-6,317,838 B1	11/2001	Baize, Eric		
AZ	US-6,243,816 B1	06/2001	Fang, et al.		
AA1	US-6,182,142 B1	01/2001	Win, et al.		
AB1	US-6,587,867 B1	07/2003	Miller, et al.		
AC1	US-5,634,122	05/1997	Loucks, et al.		
AD1	US-5,802,062	09/1998	Gehani, et al.		
AE1	US-6,263,432 B1	07/17/2001	Sasmazel Levent, M.D., et al.		
AF1	US-2002/184507 A1	12/05/2002	Makower, David, et al.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

(continued on attached Sheet)

Examiner Signature		Date Considered	
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Substitute for form 1449/PTO				<b>Complete If Known</b>	
				Application Number	10/608,881-Conf. #7839
				Filing Date	June 26, 2003
				First Named Inventor	Shivaram Bhat
				Art Unit	2136
				Examiner Name	C. Johnson
Sheet	2	of	2	Attorney Docket Number	03226/496001; P9015

<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
BA		EP-1009130 A1	06/2000	BRUN, et al.		
BB		EP-1-089-516 A	04/04/2001	Citicorp Development		
BC		WO-01/11452 A	02/15/2001	Sun Microsystems, Inc.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

<b>NON PATENT LITERATURE DOCUMENTS</b>							
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					T <sup>2</sup>
	CA	European Search Report dated 09/05/2005					
	CB	SAMAR V: "Single Sign-On Using Cookies for Web Applications", IEEE 8 <sup>th</sup> International Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, Stanford, CA, USA; 06/16/1999; pp. 158-163.					

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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Application No. (if known): 10/608,882

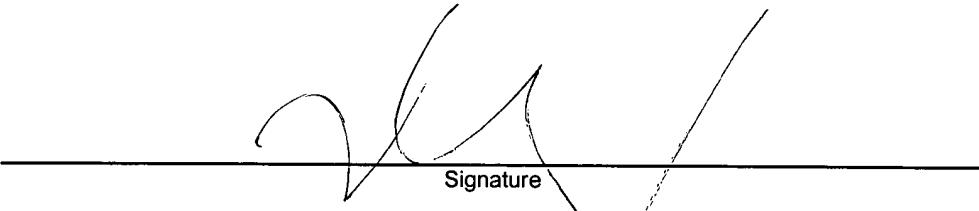
Attorney Docket No.: 03226/496001; P9015

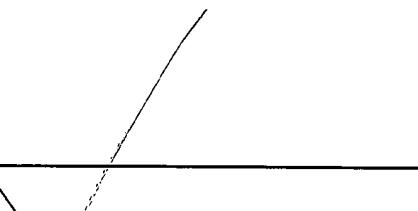
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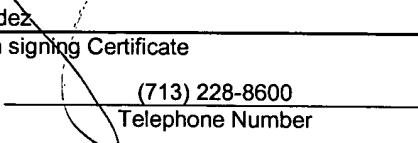
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Commissioner for Patents  
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Alexandria, VA 22313-1450

on December 29, 2006  
Date

  
Signature

  
Mona F. Hernandez  
Typed or printed name of person signing Certificate

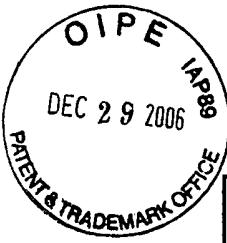
  
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Amendment Transmittal (1 page)  
Reply Under 37 CFR 1.111 (12 pages)  
Fee Transmittal (1 page)  
Information Disclosure Statement (2 pages)  
IDS (Citation) by Applicant (37 References) (101 pages)  
Payment by credit card. Form PTO-2038 is attached (1 page)  
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01-03-07

IFW #

PTO/SB/17 (07-06)

Approved for use through 01/31/2007. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

<b>Effective on 12/08/2004.</b> <b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b> <b>FEE TRANSMITTAL</b> <b>For FY 2006</b>		<b>Complete If Known</b>	
		Application Number	10/608,882-Conf. #7843
		Filing Date	June 26, 2003
		First Named Inventor	Shivaram Bhat
		Examiner Name	C. Johnson
		Art Unit	2136
<input type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27		
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>(\$)</b> 180.00	Attorney Docket No.
			03226/496001; P9015

**METHOD OF PAYMENT** (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number 50-0591 Deposit Account Name: Osha · Liang LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		<u>Fees Paid (\$)</u>
	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description

Each claim over 20 (including Reissues)

<u>Fee (\$)</u>	<u>Small Entity</u>
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50 25

Each independent claim over 3 (including Reissues)

200 100

Multiple dependent claims

360 180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
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22 - 25 = 0 x 50.00 = 0.00

Multiple Dependent Claims

<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
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<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
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3 - 3 = 0 x 200.00 = 0.00

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
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- 100 = /50 (round up to a whole number) x = =

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00

<b>SUBMITTED BY</b>				
Signature	Robert P. Lord		Registration No. (Attorney/Agent)	46,479
Name (Print/Type)			Telephone	(713) 228-8600
			Date	December 29, 2006